Docket No.: 3802-186-27

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION: Yuanhao LI, et al.

SERIAL NUMBER:

10/624,670

FILED:

July 21, 2003

FOR: METASTATIC COLON CANCER SPECIFIC PROMOTER AND USES THEREOF

EXAMINER:

**GROUP ART UNIT: 1645** 

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Assistant Commissioner for Patents PO BOX 1450 ALEXANDRIA, VA 22313-1450

Sir:

Applicant(s) wish(es) to disclose the following information.

#### REFERENCES

Applicant(s) wish(es) to make of record the documents listed on the attached International Search Report and Form PTO-1449. Copies of the listed documents are attached, where required, as are either statements of relevancy or any readily available full or partial English translations of any non-English-language documents.

## **RELATED CASES**

Attached is a list of Applicant's(s') pending applications and issued patents which may be related to the present application. Copies of the documents, where required, are attached along with Form PTO-1449.

#### **CERTIFICATION**

The undersigned certifies that

- each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application for the first time (to the knowledge of the undersigned, having made reasonable inquiry) not more than three months prior to the filing of this statement.
- no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.

### **BASIS FOR CONSIDERATION**

This	Information	Disclosure	Statement	is	filed:
1 1115	mnomanon	Disciosurc	Diatomoni	13	micu.

- without fee and within three months of the filing date of the application.
- without fee and within three months of the date of entry of the U.S. national stage.
- without fee and before the mailing date of a first Office Action on the merits (to the knowledge of the undersigned).
- without fee and with the appropriate certification above.
- without fee and with a new CPA application.
- without fee and with a Request for Continued Examination.
- with fee and before the mailing date of any Final Office Action, Notice of Allowance or an action that otherwise closes prosecution (to the knowledge of the undersigned).
- with fee, appropriate certification above, and before payment of the Issue Fee.

# **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 50-1442.

Respectfully submitted,

LA PIPER RUDNICK GRAY CARY U.S. LLP

Steven B. Kelber Attorney of Record

Registration No. 30,073

Linda Judge

Registration No. 42,702

1200 Nineteenth Street, N.W. Washington, DC 20036-2412 Telephone No. (202) 861-3900 Facsimile No. (202) 223-2085

					OIPE	<b>%</b>	SHEET	_1_(	OF <u>1</u>
Form PTO 1449  U.S. DEPARTMENT OF  COMMERCE  PATENT AND TRADEMARK  OFFICE			DOCKET NO. JAN 2 6 2005		SERIAL NO. 10/624,670				
			3802-186-27 JAN 2 6 2005 JAN 2						
			APPLICANT RADEMENT						
. 4				Yuanhao LI, et al.					
LIST OF REFERENCES CITED BY APPLICANT (Use Several Sheets if Necessary)			FILING DATE			GROUP ART UNIT			
			July 21, 2003			1645			
			U	S. PATENT DOCU	MENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAM	·····	CLASS	SUB CLASS	FILING I	
	AA	- <del>-</del>							
	AB			•					
	AC								
	AD					<del></del>		!	
	AE								_
•	AF			_					
	AG							-	
	AH				· · · · · · · · · · · · · · · · · · ·				
	AI								
	AJ						<u> </u>		
			FOR	EIGN PATENT DO	CUMENTS				
		DOCUMENT NUMBER	DATE		COUNTRY			TRANSLATION YES NO	
	AK								
	AL								
	AM				<u> </u>				
	AN				<del></del>				
	AO								
		OTHER RI	EFERENCES	(Including Author,	Title, Date, Pert	inent Pages, I	Etc.)		
	AP	Saha, et al., "A l 1346, 2001.	Phosphatase A	Associated with Meta	stasis of Colore	ectal Cancer",	Science, V	ol. 294, pp.	1343-
	AQ	DatabasesGenC SEQ ID NO: 1,		Alexandria, VA, US	A) Publication	No. US20030	)235911A1,	93.8% sim	ilarity to
	AR	of Highly Speci	fic Replication	Deleted Adenovirus in AFP-Producing Vol. 5, No. 5, pp. 62	Hepatocellular	13S by AFP Carcinoma ar	-Enhancer/I nd Eradication	Promoter is on of Establ	Capable ished

\*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

DATE CONSIDERED

**EXAMINER**